



ALEATICA

DCR-POLI-01 RISK MANAGEMENT POLICY

Policy assigned to:

Risk Department

Revised by:

Compliance and Risk Department

Approved by:

Date: March 2020
The Board of Directors of ALEATICA

Scope:

This shall apply to all of the companies in which ALEATICA SAU or ALEATICA SAB have a controlling interest. For those companies in which ALEATICA has an interest and where it has appointed a member of its governing body, such members shall propose that measures be taken which are similar to those stated herein and shall report to the keeper of this document on whether the investee company has taken measures that are comparable to those established here or adopted the latter.

**Document
classification**

For internal use

Change tracking

Revision	Section	Brief description of the change
01		This policy annuls the documents: <ul style="list-style-type: none">• Risk Management Policy approved March 29th, 2017.• Risk Management Policy – Mexico, approved October 2017.

Related and applicable documentation

DCR-NORM-01	Risk Management Standard

RISK MANAGEMENT POLICY

ALEATICA has developed a Risk Management Policy which defines the principles and guidelines used to identify, assess, control and follow-up the most significant risks it is exposed to in its operation.

Our governing principles are to:

- Align risk tolerance levels with ALEATICA 's goals.
- Integrate risk management into company-wide decision-making and priorities as well as the achievement of organizational objectives and strategic outcomes at the functional, business, and project level.
- Set, promote and maintain a culture based on transparency, awareness and open dialogue towards risk.

To exercise its principles, ALEATICA has set up the following commitments:

1. Set up a common methodology to ALEATICA Group in order to identify, assess, and manage risks.
2. Set and follow-up risk responses, as well as establish controls to the company's external and internal risk.
3. Set an integrated and standardized risk register for each concession aimed at identifying key risks, its assessment and follow-up on at least an annual basis.
4. Improve risk information and communication so that risk management is integrated and ingrained into all activities and projects carried out by ALEATICA and informs decision making and outcomes.
5. Promote a risk management as part of corporate culture with regular discussions related to risks, risk awareness and corporate communications as well as on-going risk management training.